

QUALITY COMPLIANCE

Related Product Video:

https://www.youtube.com/watch?v=RYGnJqvIK20

DEFINING STANDARDS & REGULATIONS CATALOG

1. Click *Compliance* module that appears in the middle-left side of the screen. Under the *Parameters* title, select *Define Standards & Regulations* activity.

	🕂 New Folder 🖋 Edit 🖉 Pro	cesses 🔍 Permissions 💼 Delete		Q Search	🛔 Ozgur Hamurcu 🌲 📀
auditrunner	Parameters				
🕈 Activity Stream	Define Criteria	Define Standards & Regulations	Report Templates		
Inbox	Please define Criteria	Please specify Standard, Regulation a	Click to edit report templates	Q	
Remediation		Please specify Standa	rd, Regulation and Subgroup.		
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🔀 All Waiting					
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s All					
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🗮 Market					
Administration					

2. In this catalog, you can collect all your standards and regulations which governs your company. These standards and regulations can be either domestic or international in terms of your need.

		Define Standards & Regulations	2572 a few seconds ago
Star	dards & Regulations		
	Name	Туре	Passive
•		Standard	¢ 🗆
•		Standard	¢ 🗆
•	P Grant Thornton Onboarding Standard	Standard	¢ 🗆
•		Standard	¢ 🖸
•		Standard	¢
•		Standard	¢
•		Regulation	¢ 🗆
•	₽ SOX 302	Regulation	¢
•	₽ SOX 404	Regulation	\$
•		Regulation	¢



3. Enlarge the area, by clicking the arrow next to the each standard or regulation. Then, *Name* the standard or regulation, and select the *Type*. In the *Articles* section, specify the details of the general standard or regulation. Unable the standard or regulation by simply clicking the box *Passive*.

i ode item wo	rking in test mode, all next work items will be redirected to you for easier testing.				
	Define	Standards &	Regulations		2572 5 minutes ago
Stan	dards & Regulations				5
	Name		Туре	Pa	ssive
•			Standard	¢ 🗆	
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•		n		¢ 🗹	
•	ISO 27001		Standard	\$	
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4. Save the action by clicking *Save*.

est Mode work item working in test m	✓ Yes ★ Cancel			
		Define Standards & Regulations		2572 10 minutes ago
Standards & Re	gulations	¥		
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▼ SOX 409		Regulation	\$	
+ Add New				



DEFINING CRITERIA

1. Under the *Parameters* title, select *Define Criteria* activity.

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auditrunner	Parameters				
Activity Stream	Define Criteria	Define Standards & Regulations.	Report Templates		
Inbox	Please define Criteria	Please specify Standard, Regulation a	Click to edit report templates	Q	
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ompliance					
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Parameters					
Report Archive					
, Market					
€ Administration					

2. *Criteria* correspond to the practical expectations of the standards and regulations.

Define Criteria		2576 a few seconds ago
Criteria		
Name	Passive	
✓		
▼		
▼		
▼		
▼ Stablish safeguards to establish timelines		
▼		
 		
▼		
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3. For each criterion, explain the details, specify the *Questions/Expectations, Related Standards and Regulations and Responsible Departments*.

•	Detect security breaches							
•								
	Questions / Expectations	;						
	Question			Passive				
	Periodically test network and file integrity							
	Verify that messages are left							
	+ Add New							
	* AULINEW							
	Related Standards and Regulations							
	Standard/Regulation	Article						
	SOX 404	\$ b - With	respect to the internal control assessment required by subsection (a), each registered public	accounting firm that prepares	or issues the audit report f \$			
	+ Add New							
	Responsible Department	ts						
	Information Technologies				¢			
	Internal Audit				¢			
	T Add New							
	& Disclose security breaches to	o SOX auditor						

4. Save the action by clicking *Save*.

o de tem working in t	test mo			
	Define Criteria	2576 38 minutes a		
Criteria				
Name		Passive		
▼ Ø Dete	ect security breaches			
▼ Ø Disc	lose failures of security safeguards to SOX auditors			
▼ Ø Disc	lose security breaches to SOX auditors			
▼ Ø Disc	lose security safeguards to SOX auditors			
👻 🥜 Ensu	 PEnsure that safeguards are operational 			
▼ Ø Esta	blish safeguards to establish timelines			
▼ Ø Esta	blish safeguards to prevent data tampering			
▼ Ø Esta	blish verifiable controls to track data access			
▼ ISO 2	27001 Policy			
▼ Perio	odically report the effectiveness of safeguards			
🔻 🥒 Prep	pare a maintenance program for air filters			
T & Color	et cumpliare according to a fair and formal practice to ansure a viable bact fit bacad on specified requirements			